

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): REICH ET AL.

Group Art Unit: 3693

Serial No.: 09/877,439

Examiner: DASS, HARISH T

Filed: June 8, 2001

For: Method and System for Automated Transaction Compliance Processing

**RESPONSE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In Response to the Final Office Action dated October 12, 2006, Applicants respectfully request reconsideration in view of the following amendments and remarks.

**Remarks** begin on page 2 of this paper.